



IPW

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Application of: John G. Babish, et al.

Serial No.: 09/885,721

Filed: June 20, 2001

Title: Complex Mixtures Exhibiting Selective Inhibition of Cyclooxygenase-2

Attorney Docket No.: 068911.0030

**CERTIFICATE OF MAILING**

I certify that this document is being deposited on the date stated below, with the U.S. Postal Service as first class mail under 37 C.F.R. § 1.8(a) and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

11/13/04

Date

**Commissioner for Patents**

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Dear Sir:

Enclosed herewith for filing in connection with the above-identified patent application are the following:

1. Power of Attorney and Correspondence Address Indication Form;
2. Statement under 37 C.F.R. § 3.73(b); and
3. Return Postcard.

No fees are believed due but the Commissioner is hereby authorized to charge any additional fees, which may be required or credit any overpayment to Deposit Account No. 50-1133.

Respectfully submitted,

Simona A. Levi-Minzi, Ph.D

Registration No. 43,750

Attorney for Applicants

McDermott, Will & Emery LLP

201 South Biscayne Blvd.

Suite 2200

Miami, FL 33131

Telephone: 305.347.6528

Facsimile: 305.347.6500

Date: 11/13/04



**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	09/885,721
Filing Date	06/20/01
First Named Inventor	BABISH, ET AL
Title	COMPLEX MIXTURES EXHIBITING SELECTIVE INHIBITION OF CYCLOOXYGENASE-2
Art Unit	1654
Examiner Name	M. Meller
Attorney Docket Number	068911.0030

I hereby appoint:

☐ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:

Name	Registration Number
Simona A. Levi-Minzi, Ph.D.	43,750

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Simona A. Levi-Minzi, Ph.D.				
Address	McDermott Will & Emery LLP				
Address	201 S. Biscayne Boulevard, Suite 2200				
City	Miami	State	FL	Zip	33131
Country	USA				
Telephone	305.347.6528	Fax	305.347.6500		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)*

**SIGNATURE of Applicant or Assignee of Record**

Name	Susan Campbell, Esq.				
Signature					
Date	11-5-04	Telephone	949.369.3372		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: BABISH, ET ALApplication No./Patent No: 09/885,721 Filed/Issue Date: 06/20/01Entitled: COMPLEX MIXTURES EXHIBITING SELECTIVE INHIBITION OF CYCLOOXYGENASE-2METAPROTEOMICS, LLC, a Limited liability company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_ Frame \_\_\_\_\_ or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: John G. Babish To: Ashni Naturaceuticals, Inc.The document was recorded in the United States Patent and Trademark Office at Reel 01265, Frame 0107, or for which a copy thereof is attached.2. From: M. Terrence Howell To: Ashni Naturaceuticals, Inc.The document was recorded in the United States Patent and Trademark Office at Reel 01265, Frame 0107, or for which a copy thereof is attached.3. From: Ashni Naturaceuticals, Inc. To: METAPROTEOMICS, LLCThe document was recorded in the United States Patent and Trademark Office at Reel 013453, Frame 0204, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Susan J Campbell  
Signature  
Susan Campbell, Esq.  
Printed or Typed Name  
General Counsel  
Title

11-5-04  
Date  
949.369.3372  
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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PATENT AND  
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Thorpe, North & Western

MAY 16, 2002

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Arlington, VA 22202-3513  
www.uspto.gov

THORPE NORTH & WESTERN, LLP  
M. WAYNE WESTERN  
8180 SOUTH 700 EAST  
P.O. BOX 1219  
SANDY, UT 84070



\*102027828A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/08/2002

REEL/FRAME: 012695/0107  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BABISH, JOHN G.

DOC DATE: 07/24/2001

ASSIGNOR:

HOWELL, M. TERRENCE

DOC DATE: 07/20/2001

ASSIGNEE:

ASHNI NATURACEUTICALS, INC.  
615 ARAPEEN DRIVE, #102  
SALT LAKE CITY, UTAH 84108

SERIAL NUMBER: 09885721  
PATENT NUMBER:

FILING DATE: 06/20/2001  
ISSUE DATE:

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FG  
(Re  
OMI

03-22-2002



ORDINATION FORM COVER SHEET

PATENTS ONLY

T ID # \*101886020A\*

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

*Reguhm*

102027828

Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John G. Babish and M. Terrence Howell

3-8-02

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 7/24/01 and 7/20/01

2. Name and address of receiving party(ies)

Name: **ASHNI NATURACEUTICALS, INC.**

Internal Address:

Street Address: **615 Arapeen Drive, #102**

**Salt Lake City, Utah 84108**

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s). 09/885,721

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **M. Wayne Western**

Mailing Address: **Thorpe North & Western, LLP**

**P.O. Box 1219**

**Sandy, UT 84091-1219**

Street Address: **8180 South 700 East**

City: **Sandy** State: **Utah** Zip: **84070**

6. Total number of applications and patents involved: **1**

7. Total fee (27 CFR 3.41) ..... **\$40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

**20-0100 (Deficiencies Only)**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**M. Wayne Western**

Name of Person Signing

*M. Wayne Western*  
Signature

**2-1-02**  
Date

Total number of pages including this cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, DC 20231

WHEN RECORDED RETURN TO:

M. Wayne Western  
THORPE, NORTH & WESTERN, L.L.P.  
P.O. BOX 1219  
SANDY, UTAH 84091-1219

## ASSIGNMENT

WHEREAS, we, **JOHN G. BABISH**, resident of Brooktondale, New York, whose postal mailing address is 508 White Church Road Brooktondale, New York 14817, and **M. TERRENCE HOWELL**, resident of Dryden, New York, whose postal mailing address is 62 Southworth Road, Dryden, New York 13053 (hereinafter "Assignors") have invented a certain new and useful improvement in a **COMPLEX MIXTURES EXHIBITING SELECTIVE INHIBITION OF CYCLOOXYGENASE-2**, for which an application for United States Letters Patent was filed on June 20, 2001 and given U.S. Patent Application Serial No. 09/885,721; and

WHEREAS, **ASHNI NATURACEUTICALS, INC.**, a corporation, organized and existing under the laws of the State of Delaware, having a business address of 615 Arapeen Drive, #102, Salt Lake City, Utah 84108, (Assignee"), is desirous of acquiring the entire right, title, and interest in the same;

NOW, THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency whereof is hereby acknowledged, we the said Assignors, hereby grant and assign unto the said Assignee, our entire right, title and interest in said invention and in all patent applications based thereon, including but not limited to the above identified United States Patent Application and in all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted thereon, and in all corresponding applications filed in countries foreign to

the United States, and in all patents issuing thereon in the United States and foreign countries; the right, power and authority to file and prosecute U.S. and foreign patent applications on said invention in its own name, wherever such right may be legally exercised, and including the right to claim the priority and benefits of the International Convention for such applications; and the right to bring actions for infringement of any right in said invention in its own name, including past infringement, in any jurisdiction.

We hereby authorize and request the United States Commissioner of Patents and Trademarks, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all Letters Patent on said invention to the said Assignee as the owner of our entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee may request of us to make this Assignment fully effective, including, by way of example but not of limitation, the following: prompt execution of all original, divisional, substitution, reissue, and other United States and foreign patent applications on said invention, and all lawful documents as requested by the Assignee to further the prosecution of any of such patent applications; cooperation to the best of our ability including, but not limited to, the execution of all lawful documents, the production of evidence in any actions or proceedings concerning the invention, including, but not limited to, nullification, reissuing, extension, and infringement proceedings involving said invention.





10/25/2001

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101886020

To the Honorable Commissioner of Patents

and original documents or copy thereof.

1. Name of conveying party(ies):  
John G. Babish, et al.

10-19-01

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: ASHNI NATURACEUTICALS, INC.

Internal Address: \_\_\_\_\_

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Street Address: 615 Arapeen Drive, #102

Salt Lake City, Utah 84108

Execution Date: 7/24/01 and 7/20/01

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s). 09/885,721

B. Patent No(s). \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: M. Wayne Western

Mailing Address: Thorpe North & Western, LLP

P.O. Box 1219

Sandy, UT 84091-1219

Street Address: 8180 South 700 East

City: Sandy State: Utah Zip: 84070

6. Total number of applications and patents involved: 1

7. Total fee (27 CFR 3.41) ..... \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

20-0100 (Deficiencies Only)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

M. Wayne Western

Name of Person Signing

M. Wayne Western  
Signature

10/16/01  
Date

Total number of pages including this cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

10/22/2001 CCHAU1 00000018 09885721

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Washington, DC 20231

DEA/CCT



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



MARCH 05, 2003

PTAS  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
CONNIE C. TONG, PH.D.  
FOURTEENTH FLOOR  
2040 MAIN STREET  
IRVINE, CA 92614

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov



\*102274924A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/07/2002

REEL/FRAME: 013453/0204  
NUMBER OF PAGES: 4

BRIEF: CONFIRMATION OF ASSIGNMENT OF PATENT APPLICATIONS FROM ASHNI  
NATURACEUTICALS, INC. TO METAPROTEOMICS, LLC

## ASSIGNOR:

ASHNI NATURACEUTICALS, INC.

DOC DATE: 10/18/2002

## ASSIGNEE:

METAPROTEOMICS, LLC  
100 AVENIDA LA PATA  
C/O METAGENICS, INC.  
SAN CLEMENTE, CALIFORNIA 92673

SERIAL NUMBER: 09885721  
PATENT NUMBER:

FILING DATE: 06/20/2001  
ISSUE DATE:

SERIAL NUMBER: 09919349  
PATENT NUMBER:

FILING DATE: 07/31/2001  
ISSUE DATE:

SERIAL NUMBER: 09920339  
PATENT NUMBER:

FILING DATE: 08/01/2001  
ISSUE DATE:

SERIAL NUMBER: 10198277  
PATENT NUMBER:

FILING DATE: 07/16/2002  
ISSUE DATE:

AZAHMET. DUSA

013453/0204 PAGE 2

SERIAL NUMBER: 10234002  
PATENT NUMBER:

FILING DATE: 08/29/2002  
ISSUE DATE:

SERIAL NUMBER: 10109954  
PATENT NUMBER: 6506420

FILING DATE: 03/29/2002  
ISSUE DATE: 01/14/2003

DOROTHY WILLIAMS, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Client Code: ASHMET.001A

11-07-2002

RECORD



11/7102

TO THE ASSISTANT COMMISSIONER FOR PATENT

102274924

5 or copy thereof.

1. Name of conveying party: (If multiple assignors, list numerically)

**Ashni Naturaceuticals, Inc.**

Additional name(s) of conveying party(ies) attached?  
( ) Yes (X) No

2. Name and address of receiving party(ies):

Name: **MetaProteomics, LLC**

Street Address: **c/o Metagenics, Inc.**

**100 Avenida La Pata**

City: **San Clemente** State: **CA** ZIP: **92673**

Additional name(s) of receiving party(ies) attached?  
( ) Yes (X) No

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2002 NOV -7 PM 3:20  
ASSIGNMENT DIV

3. Nature of conveyance:

- ( ) Assignment  
( ) Merger  
( ) Security Agreement  
( ) Change of Name  
(X) Other: **Confirmation of Assignment of Patent**

**Applications from Ashni Naturaceuticals, Inc. to MetaProteomics, LLC**

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above) **October 18, 2002**

4. Application number(s) or Patent number(s):

( ) Application(s) filed herewith Execution Date(s):

(X) Patent Application No.: **09/885,721**  
Filing Date: **6/20/01**

( ) Patent No.:  
Issue Date:

**See additional Application numbers attached: YES**

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Connie C. Tong, Ph.D.**  
**KNOBBE, MARTENS, OLSON & BEAR, LLP**  
**Customer No. 20,995**

**Internal Address: Fourteenth Floor**

**Street Address: 2040 Main Street**

**City: Irvine State: CA ZIP: 92614**

**Attorney's Docket No.: ASHMET.000GEN**

7. Total fee (37 CFR 1.21(h)): **\$240**

(X) Enclosed

( ) Authorized to be charged to deposit account

8. Deposit account number: **11-1410**

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications involved: **6**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Connie C. Tong, Ph.D.  
Name of Person Signing

Signature

October 22, 2002  
Date

52,292  
Registration No.

Total number of pages including cover sheet, attachments and document: **4**

Mail documents to be recorded with required cover sheet information to:

11/08/2002 TDIAZI 00000042 09885721

01 FC:8021

240.00 OP

U.S. Patent and Trademark Office  
Attn: Assignment Division  
Crystal Gateway-4  
1213 Jefferson Davis Highway, Suite 320

**Attachment to Recordation Form Cover Sheet**

<b>Atty. Docket #</b>	<b>Serial No.</b>	<b>Filed</b>	<b>Title</b>
ASHMET.002A1	09/919,349	7/31/01	Composition of Sesquiterpene Lactones and Kitepene Triepoxide Lactones For Synergistic Inhibition
ASHMET.004A	09/920,339	8/1/01	Composition of Diterpene Triepoxide Lactones and Ditepene Lactones or Triterpenes for Synergistic Inhibition of Cyclooxygenase-2
ASHMET.005A	10/198,277	7/16/02	Curcuminoid Compositions Exhibiting Synergistic Inhibition of the Expression and/or Activity of Cyclooxygenase-2
ASHMET.006A	10,234,002	8/29/02	Arginine Compositions for Coordinate Modification of Multiple Cardiovascular Risk Factors
ASHMET.010CP1	10/109,954	3/29/02	Combinations of Psyllium and Chitosan for Synergistic Adsorption of Triglyceride

OCT-18-2002 16:42

001 993 0342 P.02/02

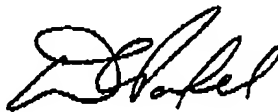
CONFIRMATION OF ASSIGNMENT OF PATENT APPLICATIONS FROM  
ASHNI NATURACEUTICALS, INC. TO  
METAPROTEOMICS, LLC

I, DINESH C PATEL, declare as follows:

1. I am the CHAIRMAN, PRESIDENT & CEO of Ashni Naturaceuticals, Inc., a Delaware corporation ("Ashni"), which is one of the partners of MetaProteomics, LLC, a California Limited Liability Company ("MetaProteomics");

2. Pursuant to a separate document entitled "Joint Venture Agreement," effective as of March 16, 2002, Ashni Naturaceuticals, Inc. assigned to MetaProteomics all of its right, title and interest in the patent applications listed in Exhibit "A" attached hereto and incorporated herein by reference; and

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct and this Declaration was executed this 18<sup>th</sup> day of October, 2002, at Salt Lake City, Utah.



Signature

Printed Named: DINESH C PATEL

OCT-31-02.DOC:cb  
10/22

TOTAL P.02

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**EXHIBIT "A"**  
**PATENT APPLICATIONS**  
**ASSIGNED FROM ASHNI NATURACEUTICALS, INC.**  
**TO METAPROTEOMICS, LLC**

<b>Atty. Docket #</b>	<b>Serial No.</b>	<b>Filed</b>	<b>Title</b>
ASHMET.001A	09/885,721	6/20/01	Complex Mixtures Exhibiting Selective Inhibition of Cyclooxygenase-2
ASHMET.002A1	09/919,349	7/31/01	Composition of Sesquiterpene Lactones and Kitepene Triepoxide Lactones For Synergistic Inhibition
ASHMET.004A	09/920,339	8/1/01	Composition of Diterpene Triepoxide Lactones and Ditepene Lactones or Triterpenes for Synergistic Inhibition of Cyclooxygenase-2
ASHMET.005A	10/198,277	7/16/02	Curcuminoid Compositions Exhibiting Synergistic Inhibition of the Expression and/or Activity of Cyclooxygenase-2
ASHMET.006A	10,234,002	8/29/02	Arginine Compositions for Coordinate Modification of Multiple Cardiovascular Risk Factors
ASHMET.010CP1	10/109,954	3/29/02	Combinations of Psyllium and Chitosan for Synergistic Adsorption of Triglyceride

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS: Please record the attached original documents or copy thereof.

1. Name of conveying party: (If multiple assignors, list numerically)

**Ashni Naturaceuticals, Inc.**

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **MetaProteomics, LLC**Street Address: **c/o Metagenics, Inc.****100 Avenida La Pata**City: **San Clemente** State: **CA** ZIP: **92673**

Additional name(s) of receiving party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☐
- Assignment
- 
- ☐
- Merger
- 
- ☐
- Security Agreement
- 
- ☐
- Change of Name

☒ Other: **Confirmation of Assignment of Patent****Applications from Ashni Naturaceuticals, Inc. to  
MetaProteomics, LLC**Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above) **October 18, 2002**

4. Application number(s) or Patent number(s):

☐ Application(s) filed herewith Execution Date(s):☒ Patent Application No.: **09/885,721**Filing Date: **6/20/01**☐ Patent No.:

Issue Date:

**See additional Application numbers  
attached: YES**

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Connie C. Tong, Ph.D.  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995**Internal Address: **Fourteenth Floor**Street Address: **2040 Main Street**City: **Irvine** State: **CA** ZIP: **92614**Attorney's Docket No.: **ASHMET.000GEN**

7. Total fee (37 CFR 1.21(h)):
- \$240**

☒ Enclosed☐ Authorized to be charged to deposit account


8. Deposit account number:
- 11-1410**

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications involved:
- 6**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Connie C. Tong, Ph.D.  
Name of Person Signing  
SignatureOctober 22, 2002  
Date52,292

Registration No.

Total number of pages including cover sheet, attachments and document: **4**

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office  
Attn: Assignment Division  
Crystal Gateway-4  
1213 Jefferson Davis Highway, Suite 320



**Attachment to Recordation Form Cover Sheet**

<b>Atty. Docket #</b>	<b>Serial No.</b>	<b>Filed</b>	<b>Title</b>
ASHMET.002A1	09/919,349	7/31/01	Composition of Sesquiterpene Lactones and Kitepene Triepoxide Lactones For Synergistic Inhibition
ASHMET.004A	09/920,339	8/1/01	Composition of Diterpene Triepoxide Lactones and Ditepene Lactones or Triterpenes for Synergistic Inhibition of Cyclooxygenase-2
ASHMET.005A	10/198,277	7/16/02	Curcuminoid Compositions Exhibiting Synergistic Inhibition of the Expression and/or Activity of Cyclooxygenase-2
ASHMET.006A	10,234,002	8/29/02	Arginine Compositions for Coordinate Modification of Multiple Cardiovascular Risk Factors
ASHMET.010CP1	10/109,954	3/29/02	Combinations of Psyllium and Chitosan for Synergistic Adsorption of Triglyceride

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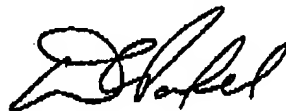
**CONFIRMATION OF ASSIGNMENT OF PATENT APPLICATIONS FROM  
ASHNI NATURACEUTICALS, INC. TO  
METAPROTEOMICS, LLC**

1. DINESH C PATEL, declare as follows:

1. I am the CHAIRMAN, PRESIDENT & CEO of Ashni Naturaceuticals, Inc., a Delaware corporation ("Ashni"), which is one of the partners of MetaProteomics, LLC, a California Limited Liability Company ("MetaProteomics");

2. Pursuant to a separate document entitled "Joint Venture Agreement," effective as of March 16, 2002, Ashni Naturaceuticals, Inc. assigned to MetaProteomics all of its right, title and interest in the patent applications listed in Exhibit "A" attached hereto and incorporated herein by reference; and

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct and this Declaration was executed this 18<sup>th</sup> day of October, 2002, at Salt Lake City, Utah.



Signature

Printed Named: DINESH C PATEL

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100402

**BEST AVAILABLE COPY**

TOTAL P.02

**EXHIBIT "A"**  
**PATENT APPLICATIONS**  
**ASSIGNED FROM ASHNI NATURACEUTICALS, INC.**  
**TO METAPROTEOMICS, LLC**

<b>Atty. Docket #</b>	<b>Serial No.</b>	<b>Filed</b>	<b>Title</b>
ASHMET.001A	09/885,721	6/20/01	Complex Mixtures Exhibiting Selective Inhibition of Cyclooxygenase-2
ASHMET.002A1	09/919,349	7/31/01	Composition of Sesquiterpene Lactones and Kitepene Triepoxide Lactones For Synergistic Inhibition
ASHMET.004A	09/920,339	8/1/01	Composition of Diterpene Triepoxide Lactones and Ditepene Lactones or Triterpenes for Synergistic Inhibition of Cyclooxygenase-2
ASHMET.005A	10/198,277	7/16/02	Curcuminoid Compositions Exhibiting Synergistic Inhibition of the Expression and/or Activity of Cyclooxygenase-2
ASHMET.006A	10,234,002	8/29/02	Arginine Compositions for Coordinate Modification of Multiple Cardiovascular Risk Factors
ASHMET.010CP1	10/109,954	3/29/02	Combinations of Psyllium and Chitosan for Synergistic Adsorption of Triglyceride